



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Christopher L. Chua et al

Title: PHOTOLITHOGRAPHICALLY-PATTERNED VARIABLE CAPACITOR  
STRUCTURES AND METHOD OF MAKING

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

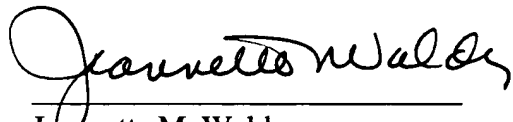
Enclosed is a copy of the Information Disclosure Statement Form PTO-1449 submitted pursuant to 37 C.F.R. §1.56, together with a copies of the documents cited in that form. The undersigned respectfully requests that the Examiner consider the cited documents and that the enclosed copy of the Information Disclosure Statement Form PTO-1449 be initialed by the Examiner to indicate such consideration and that a copy thereof be returned to the applicant.

This Statement is being filed concurrently with the filing of the application to which it relates. No certification or fee is required.

This Statement is not intended to constitute an admission that any information referred to herein is "prior art" in relation to the claimed invention unless specifically designated as such. In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Statement shall not be construed to mean that a search has been made or that the information submitted herewith is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

The references are discussed in the Background of the Invention.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Jeannette M. Walder", written over a horizontal line.

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Date: October 11, 2001

Form PTO-1449	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. D/99842QD	APPLICATION NO.
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT Christopher L. Chua et al	
		FILING DATE 10/11/2001	GROUP ART UNIT

1102 U.S. PTO  
09/975358  
10/11/01

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
	5,444,599	08/22/95	Jean-Pierre Dupraz, et al	361	274.1
	5,446,616	08/29/95	Keith O. Warren	361	283.2
	5,613,861	03/25/97	Donald L. Smith, et al	439	81
	5,619,071	04/08/97	Alan M. Myers, et al	257	753
	5,848,685	12/15/98	Donald Leonard Smith, et al	200	275
	5,914,218	06/22/99	Donald Leonard Smith, et al	430	320
	6,229,684	05/08/2001	Allen Bruce Cowen, et al	361	278

## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
	US	WO 99/18445	04/15/99	Robert B. Marcus, et al	

## OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)


EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.